

6	9	13	7
12		10	5
3	1	4	14
15	8	11	2

Mathematics for Computer Science

MIT 6.042J/18.062J

Uncountable Sets



Albert R Meyer, March 13, 2017

Cantor.1

6	9	13	7
12		10	5
3	1	4	14
15	8	11	2

Infinite Sizes

Are all sets the same size? **NO!**

Cantor's Theorem

shows how to keep finding bigger infinities.



Albert R Meyer, March 13, 2017

Cantor.2

6	9	13	7
12		10	5
3	1	4	14
15	8	11	2

Countable Sets

A is countable iff can list it:

a_0, a_1, a_2, \dots example:

$\{0,1\}^*$::= {finite bit strings}

Claim: $\{0,1\}^\omega$::= { ∞ -bit strings} is uncountable.



Albert R Meyer, March 13, 2017

Cantor.3

6	9	13	7
12		10	5
3	1	4	14
15	8	11	2

Diagonal Arguments

Suppose $s_0, s_1, s_2, \dots \in \{0,1\}^\omega$

	0	1	2	3	...	n	n+1	...
s_0	0	0	1	0	...	0	0	...
s_1	0	1	1	0	...	0	1	...
s_2	1	0	0	0	...	1	0	...
s_3	1	0	1	1	...	1	1	...
	1	...			
	1	...			
	0	...			



Albert R Meyer, March 13, 2017

Cantor.4

6	9	13	7
12		10	5
3	1	4	14
15	8	11	2

Diagonal Arguments

Suppose $s_0, s_1, s_2, \dots \in \{0, 1\}^\omega$

	0	1	2	3	...	n	n+1	...
s_0	1	0	1	0	...	0	0	...
s_1	0	0	1	0	...	0	1	...
s_2	1	0	1	0	...	1	0	...
s_3	1	0	1	0	...	1	1	...
...	0
...	0
...	1



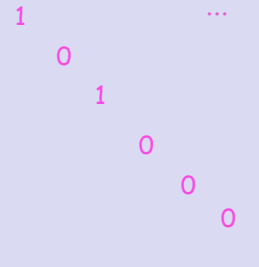
Albert R Meyer, March 13, 2017

Cantor.5

6	9	13	7
12		10	5
3	1	4	14
15	8	11	2

Diagonal Arguments

Suppose $s_0, s_1, s_2, \dots \in \{0, 1\}^\omega$



Albert R Meyer, March 13, 2017

Cantor.6

6	9	13	7
12		10	5
3	1	4	14
15	8	11	2

Diagonal Arguments

Suppose $s_0, s_1, s_2, \dots \in \{0, 1\}^\omega$

1 0 1 0 0 0 1 ...



Albert R Meyer, March 4, 2013

Cantor.6

6	9	13	7
12		10	5
3	1	4	14
15	8	11	2

Diagonal Arguments

So 1 0 1 0 0 0 1 ... differs from every row.



Albert R Meyer, March 13, 2017

Cantor.9

6	9	13	7
12		10	5
3	1	4	14
15	8	11	2

Diagonal Arguments

So $1010001\dots$ differs from every row. That is,

$$1010001\dots \notin \{0,1\}^\omega$$

contradicting the claim that every $s \in \{0,1\}^\omega$ appears as a row.



Albert R Meyer, March 13, 2017

Cantor.11

6	9	13	7
12		10	5
3	1	4	14
15	8	11	2

$\{0,1\}^\omega$ is **uncountable**

So **NOT** $\left(\mathbb{N} \text{ surj } \{0,1\}^\omega \right)$

\mathbb{N} "strictly smaller" than $\{0,1\}^\omega$



Albert R Meyer, March 13, 2017

Cantor.12

6	9	13	7
12		10	5
3	1	4	14
15	8	11	2

Strictly Smaller

A strict B ::= NOT(A surj B)
A is "strictly smaller" than B

So \mathbb{N} strict $\{0,1\}^\omega$



Albert R Meyer, March 13, 2017

Cantor.13

6	9	13	7
12		10	5
3	1	4	14
15	8	11	2

Strictly Smaller Warning!

"Strictly smaller" is a
metaphor



Albert R Meyer, March 13, 2017

Cantor.14

6	9	13	7
12		10	5
3	1	4	14
15	8	11	2

Strictly Smaller Warning!

"Strictly smaller" is a metaphor meant to suggest properties of the highly technical relation **strict**.



Albert R Meyer, March 13, 2017

Cantor.15

6	9	13	7
12		10	5
3	1	4	14
15	8	11	2

Strictly Smaller Warning!

We do not assign "sizes" to infinite sets. Informal reasoning about "strictly smaller" will be misleading for **strict**.



Albert R Meyer, March 13, 2017

Cantor.16

6	9	13	7
12		10	5
3	1	4	14
15	8	11	2

Cantor's Theorem

A **strict** $\text{pow}(A)$
for every set, A
(finite or infinite)



Albert R Meyer, March 13, 2017

Cantor.17

6	9	13	7
12		10	5
3	1	4	14
15	8	11	2

Diagonal Arguments

Suppose $A = \{a, b, s, t, \dots, d, e, \dots\}$
 $\text{pow}(A) = \{f(a), f(b), f(s), \dots, f(d), \dots\}$

	a	b	s	t	.	.	d	e	.	.
f(a)										.
f(b)										.
f(s)										.
f(t)										.
.										.
.										.
.										.



Albert R Meyer, March 13, 2017

Cantor.18

6	9	13	7
12		10	5
3	1	4	14
15	8	11	2

Diagonal Arguments

Suppose $A = \{a, b, s, t, \dots, d, e, \dots\}$
 $\text{pow}(A) = \{f(a), f(b), f(s), \dots, f(d), \dots\}$

	a	b	s	t	c	.	.	d	e	.	.
f(a)	a		s	t				e		.	.
f(b)	a	b			c			d		.	.
f(s)		b		t						.	.
f(t)			s	t	c			d		.	.
f(c)		b	s					d	e		.
.
.



Albert R Meyer, March 13, 2017

Cantor.19

6	9	13	7
12		10	5
3	1	4	14
15	8	11	2

Diagonal Arguments

Suppose $A = \{a, b, s, t, \dots, d, e, \dots\}$
 $\text{pow}(A) = \{f(a), f(b), f(s), \dots, f(d), \dots\}$

	a	b	s	t	c	.	.	d	e	.	.
f(a)	a		s	t				e		.	.
f(b)	a	b			c			d		.	.
f(s)		b	s	t						.	.
f(t)			s	t	c			d		.	.
f(c)		b	s		c			d	e		.
.
.



Albert R Meyer, March 13, 2017

Cantor.20

6	9	13	7
12		10	5
3	1	4	14
15	8	11	2

Diagonal Arguments

Suppose $A = \{a, b, s, t, \dots, d, e, \dots\}$
 $\text{pow}(A) = \{f(a), f(b), f(s), \dots, f(d), \dots\}$

	a	b	s	t	c	.	.	d	e	.	.
D			s	t				e		.	.
f(b)	a				c			d		.	.
f(s)		b	s	t						.	.
f(t)			s		c			d		.	.
f(c)		b	s					d	e		.
.
.



Albert R Meyer, March 13, 2017

Cantor.21

6	9	13	7
12		10	5
3	1	4	14
15	8	11	2

A strict Pow(A)

Pf: say we have fcn $f: A \rightarrow \text{pow}(A)$.
 Define a subset of A that is not in
 the range of f : namely

$$D ::= \{a \in A \mid a \notin f(a)\}$$

Now $D \notin \text{range}(f)$ since it differs
 from set $f(a)$ at element a !



Albert R Meyer, March 13, 2017

Cantor.22

6	9	13	7
12		10	5
3	1	4	14
15	8	11	2

A strict $\text{Pow}(A)$

So no f -arrow into D .
 f is not a surjection.
QED



6	9	13	7
12		10	5
3	1	4	14
15	8	11	2

\mathbb{N} strict $\text{pow}(\mathbb{N})$

That is,
 $\text{pow}(\mathbb{N})$ is uncountable



6	9	13	7
12		10	5
3	1	4	14
15	8	11	2

Proving **Uncountability**

Lemma: If A is uncountable
and $C \text{ surj } A$ then
 C is uncountable



6	9	13	7
12		10	5
3	1	4	14
15	8	11	2

$\{0,1\}^\omega$ again

We know
 $\{0,1\}^\omega \text{ bij } \text{pow}(\mathbb{N})$
and $\text{pow}(\mathbb{N})$ uncountable by
Cantor, so $\{0,1\}^\omega$ uncountable.



6	9	13	7
12		10	5
3	1	4	14
15	8	11	2

Real Numbers Uncountable

$$\mathbb{R} \text{ surj } \{0,1\}^{\omega}$$

map $\pm r$ to binary rep

$$7 \frac{1}{3} = 111.010101\dots$$

maps to 111010101...



Albert R Meyer, March 13, 2017

Cantor.35

6	9	13	7
12		10	5
3	1	4	14
15	8	11	2

Real Numbers Uncountable

$$\mathbb{R} \text{ surj } \{0,1\}^{\omega}$$

map $\pm r$ to binary rep

$$1/2 = .100000\dots$$

1/2 maps to 100000...



Albert R Meyer, March 13, 2017

Cantor.36

6	9	13	7
12		10	5
3	1	4	14
15	8	11	2

Real Numbers Uncountable

$$\mathbb{R} \text{ surj } \{0,1\}^{\omega}$$

map $\pm r$ to binary rep

$$1/2 = .100000\dots$$

1/2 maps to 100000...

$$= .011111\dots$$

-1/2 maps to 011111...



Albert R Meyer, March 13, 2017

Cantor.37